

07-20-01

A

Practitioner's Docket No. 892_019

PATENT

NEW APPLICATION TRANSMITTAL

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to **Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231** on July 19, 2001 under "EXPRESS MAIL" mailing label number EL 803164305 US.

Gina M. Husak

Box Patent Application
Assistant Commissioner for Patents
Washington D.C. 20231

Transmitted herewith for filing is the patent application of:

Inventor 1: Akira WAKABAYASHI
Address: c/o Tsubasa System Co., Ltd.
25-14, Kameido 2-chome, Koutou-ku
Tokyo, JAPAN

Title: ONLINE TRADING SYSTEM

1. Papers enclosed

A. Required for filing date under 37 CFR 1.53(b) (Regular) are:

- 21 Page(s) of Specification
- 4 Page(s) of Claims
- 1 Page(s) of Abstract
- 4 Sheets of Formal Drawings (Figs. 1-4)

B. Other Papers Enclosed

- ☒ A Patent Application Bibliographic Data
- ☒ A Preliminary Amendment
- ☒ A combined Declaration and Power of Attorney
- ☒ A Claim for Priority and a certified copy of 2000-233266 filed August 1, 2000 in Japan
- ☐ An Information Disclosure Statement
- ☐ PTO Form-1449
- ☒ An Assignment Transmittal and Assignment of the invention to Tsubasa System Co., Ltd.

2. The correspondence address for this application is:

Stephen P. Burr
Burr & Brown
P.O. Box 7068
Syracuse, New York 13261-7068

Telephone: (315) 233-8300
Facsimile: (315) 233-8320
Customer No.: 025191

3. Nonpublication Request Under 35 U.S.C. 122(b)(2)(B)(i)

- ☐ Applicants hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

07/19/01

J-0971 U.S. PTO

09/908945

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09908945-071901

- ☐ Applicants hereby request that the attached application **not** be published under 35 U.S.C. 122(b).

4. Small Entity Status

- ☐ Applicant(s) claim(s) small entity status. See 37 CFR § 1.27.

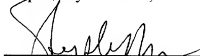
5. The filing fee has been calculated as shown below:

- A. Filing Fee
☒ Original Patent Application \$ 710.00
(37 C.F.R. 1.16(a) - \$710.00; Small Entity - \$355.00)
- B. Fees for Claims (9 Claims; 3 Indep.)
☐ each independent claim in excess of 3
(37 C.F.R. 1.16(b) - \$80.00; small entity - \$40.00) \$.00
☐ each claim in excess of 20
(37 C.F.R. 1.16(c) - \$18.00; small entity - \$9.00) \$.00
☐ multiple dependent claim(s)
(37 C.F.R. 1.16(d) - \$270.00; small entity - \$135.00) \$.00
- C. ☒ Assignment Fee \$ 40.00
- Total Fees Due \$ 750.00**

6. Payment of Fees and Authorization to Charge Additional Fees or Credit Overpayment

- ☒ A check in the amount of **\$750.00** is enclosed.
☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1446. A duplicate copy of this sheet is enclosed.
☒ Any additional filing fees required under 37 CFR 1.16.
☒ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,



Stephen P. Burr
 Reg. No. 32,970

July 19, 2001
 Date

SPB/gmh

BURR & BROWN
 P.O. Box 7068
 Syracuse, NY 13261-7068

Customer No.: 025191
 Telephone: (315) 233-8300
 Facsimile: (315) 233-8320